PEERY & ASSOCIATES, INC.
Retirement Plan Administration & Consulting

FEE SCHEDULE

(effective 1/1/2023)

Peery & Associates, Inc. is compensated for the third party administrator services provided on a fee-only basis. Any indirect compensation (e.g., 12-b1 fees, revenue sharing, etc.) received is used to offset fees as directed by the Client.

Fee Payment Source

Client pays the fees in which case no ERISA 404(a)(5) participant fee disclosures need be provided.

OR

Fees are paid with retirement plan assets in which case ERISA 404(a)(5) participant fee disclosures must be provided.

DOCUMENT FEES

	PROFIT SHARING	DEFINED BENEFIT	401(k) or 403(b)	DEFINED BENEFIT - 401(k) COMBO	CASH BALANCE PLANS	CASH BALANCE - 401(k) COMBO
Prototype Plans	$1,500	$1,700	$1,500	$2,200	$3,000	$3,700

ANNUAL ADMINISTRATION BASE FEES

	PROFIT SHARING	DEFINED BENEFIT	401(k) or 403(b)	DEFINED BENEFIT - 401(k) COMBO	CASH BALANCE PLANS	CASH BALANCE - 401(k) COMBO
For 1 Participant or 5500EZ:	$1,200	$1,500	$1,200	$2,200	$3,000	$4,000
For 2–100 Participants:	$1,500	$2,000	$2,200	$3,200	$4,000	$5,000
For 100–300 Participants:			$4,500		quoted in	advance
For 300-600 Participants:			$6,500			
For 600-900 Participants:			$8,500			
For 900 or more Participants:			quoted in advance			

PER PARTICIPANT FEES

Recordkeeping Platform: ……………………….**no charge** …………………….

	PROFIT SHARING	DEFINED BENEFIT	401(k) or 403(b)	DEFINED BENEFIT - 401(k) COMBO	CASH BALANCE PLANS	CASH BALANCE - 401(k) COMBO
All others	$50	$50	$50	$50	$50	$50

ADDITIONAL CHARGES APPLY TO THE FOLLOWING:

- Loan Origination Fee (usually paid by the Participant): $150
- Distribution to DC Participant: $125 plus Form 1099-R (if needed): $25
- Distribution to DB Participant: $175 plus Form 1099-R (if needed): $25
- Tax Withholding Transmission: $25 per transaction
- Distribution for Qualified Domestic Relations Order (QDRO): $250 ($125/person)
- Trust Accounting: $125 per hour
- Extension Filed due to client delay (Form 5558): $150
- Actuarial Review for the Defined Benefit Plan Schedule SB: $350
- PBGC Premium filing: $250
- Plan Amendments: $250 minimum
- Qualified Default Investment Alternative Notice: $100 (unless provided by advisor)
- 404(c) Notice: $100 (unless provided by advisor)
- Form 8955-SSA: $150 plus $5 per person (over 10 people)
- Form 5330: $300
- Special projects: Time and Charges: $150/hour; Actuarial Consulting: $250/hour

  Special projects include Special discrimination tests, Enrollment meetings, Voluntary Compliance filings, Lost earnings calculations, Late deposit calculations, IRS/DOL Audits etc.

The Best Budget Fit, the Best Business Fit, the Best Benefit!